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|   |  |                               |                       |
|---|--|-------------------------------|-----------------------|
| <b>UTILITY<br/>PATENT APPLICATION<br/>TRANSMITTAL</b><br><small>(Only for new nonprovisional applications under 37 CFR 1.53(b))</small> |  | <b>Attorney Docket No.</b>    | 04001- P0003A         |
|   |  | <b>First Inventor</b>         | J. Hannah Baldwin     |
|   |  | <b>Title</b>                  | Hair Retaining Device |
|   |  | <b>Express Mail Label No.</b> | EL550092975US         |

|   |  |   |  |
|---|--|---|--|
| <b>APPLICATION ELEMENTS</b><br><small>See MPEP chapter 600 concerning utility patent application contents</small>   |  | <b>ADDRESS TO:</b><br>Mail Stop Patent Application<br>Commissioner for Patents<br>P.O. Box 1450<br>Alexandria, VA 22313-1450  |  |
| <p>1. <input checked="" type="checkbox"/> Fee Transmittal Form (e.g., PTO/SB/17)<br/><i>(Submit an original, and a duplicate for fee processing)</i></p> <p>2. <input checked="" type="checkbox"/> Applicant claims small entity status<br/>See 37 CFR 1.27.</p> <p>3. <input checked="" type="checkbox"/> Specification [Total Pages <u>10</u>]<br/><i>(preferred arrangement set forth below)</i> <ul style="list-style-type: none"> <li>- Descriptive title of the Invention</li> <li>- Cross References to Related Applications</li> <li>- Statement Regarding Fed sponsored R &amp; D</li> <li>- Reference to sequence listing, a table, or a computer program listing appendix</li> <li>- Background of the Invention</li> <li>- Brief Summary of the Invention</li> <li>- Brief Description of the Drawings <i>(if filed)</i></li> <li>- Detailed Description</li> <li>- Claims(s)</li> <li>- Abstract of the Disclosure</li> </ul> </p> <p>4. <input checked="" type="checkbox"/> Drawings(s) (35 USC 113) [Total Sheets <u>6</u>]</p> <p>5. Oath or Declaration [Total Sheets <u>2</u>] <ul style="list-style-type: none"> <li>a. <input checked="" type="checkbox"/> Newly executed (original or copy)</li> <li>b. <input type="checkbox"/> Copy from prior application (37 CFR 1.63(d))<br/><i>(for continuation/divisional with Box 18 completed)</i> <ul style="list-style-type: none"> <li>i. <input type="checkbox"/> <b>DELETION OF INVENTOR(S)</b><br/>Signed statement attached deleting inventors(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b).</li> </ul> </li> </ul> </p> <p>6. <input type="checkbox"/> Application Data Sheet. See 37 CFR 1.76</p> |  | <p>7. <input type="checkbox"/> CD-ROM or CD-R in duplicate, large table or Computer Program <i>(Appendix)</i></p> <p>8. Nucleotide and/or Amino Acid Sequence Submission <i>(if applicable, all necessary)</i> <ul style="list-style-type: none"> <li>a. <input type="checkbox"/> Computer Readable Form (CRF)</li> <li>b.: Specification Sequence Listing on:           <ul style="list-style-type: none"> <li>i. <input type="checkbox"/> CD-ROM or CD-R (2 copies); or</li> <li>ii. <input type="checkbox"/> paper</li> </ul> </li> <li>c. <input type="checkbox"/> Statement verifying identity of above copies</li> </ul> </p> |  |
| <b>ACCOMPANYING APPLICATION PARTS</b>   |  |   |  |
| <p>9. <input type="checkbox"/> Assignment Papers (cover sheet &amp; document(s))</p> <p>10. <input type="checkbox"/> 37 CFR 3.73(b) Statement <input checked="" type="checkbox"/> Power of Attorney <i>(when there is an assignee)</i></p> <p>11. <input type="checkbox"/> English Translation Document <i>(if applicable)</i></p> <p>12. <input type="checkbox"/> Information Disclosure Statement (IDS)/PTO-1449 <input type="checkbox"/> Copies of IDS Citations</p> <p>13. <input type="checkbox"/> Preliminary Amendment</p> <p>14. <input checked="" type="checkbox"/> Return Receipt Postcard (MPEP 503) <i>(Should be specifically itemized)</i></p> <p>15. <input type="checkbox"/> Certified Copy of Priority Document(s) <i>(if foreign priority is claimed)</i></p> <p>16. <input type="checkbox"/> Nonpublication Request and Certification under 35 U.S.C. 122(b)(2)(B)(i). Applicant must attach form PTO/SB/35 or its equivalent.</p> <p>17. <input type="checkbox"/> Other.....</p>  |  |   |  |

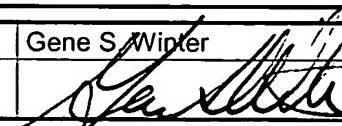
18. If a CONTINUING APPLICATION, check appropriate box and supply the requisite information below and in the first sentence of the specification following the title, or in an Application Data Sheet under 37 CFR 1.76:

Continuation     Divisional     Continuation-in-part (CIP) of prior application No.: Serial No. 60/425,069

Prior application information: Examiner Group/Art Unit:

For CONTINUATION OR DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

#### 18. CORRESPONDENCE ADDRESS

|  |   |           |   |          |              |
|--|---|-----------|---|----------|--------------|
| <input checked="" type="checkbox"/> Customer Number: | 24126   |           | OR <input checked="" type="checkbox"/> Correspondence address below |          |              |
| Name   | Gene S. Winter  |           |   |          |              |
| Address  | St.Onge Steward Johnston & Reens LLC<br>986 Bedford Street                          |           |   |          |              |
| City   | Stamford  | State     | CT  | Zip Code | 06905-5619   |
| Country  | United States   | Telephone | 203 324-6155  | Fax      | 203 327-1096 |
| Name (Print/Type)                                    | Gene S. Winter  |           | Registration No. (Attorney/Agent)                                   | 28,352   |              |
| Signature  |  |           |   | Date     | 11/12/03     |

This collection of information is required by 37 CFR 1.53(b). The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 36 U.S.C. 122 ND 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Office, Patent and Trademark Office, Washington, DC 20231-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.

22581 U.S. PTO  
10/706556



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1272  
111203

# FEE TRANSMITTAL for FY 2003

Effective 01/01/2003. Patent fees are subject to annual revision

 Applicant claims small entity status. See 37 CFR 1.27**TOTAL AMOUNT OF PAYMENT**

(\$ 428.00)

Attorney Docket Number 04001-P0003A GSW/HML

**METHOD OF PAYMENT (check all that apply)**
 Check  Credit Card  Money  Other  None  
 Deposit Account: order

Deposit Account

19-4516

Deposit Account Name

St.Onge Steward Johnston &amp; Reens LLC

The Director is authorized to: (check all that apply)

- 
- Charge fee(s) indicated below
- 
- Credit any overpayments
- 
- 
- Charge any additional fees(s) during the pendency of this application
- 
- 
- Charge fees(s) indicated below, except for the filing fee to the above-identified deposit account

**FEE CALCULATION****1. BASIC FILING FEE****Large Entity**    **Small Entity**

| Fee Code     | Fee (\$) | Fee Code | Fee (\$) | Fee Description        | Fee Paid |
|--------------|----------|----------|----------|------------------------|----------|
| 1101         | 770      | 2001     | 385      | Utility filing fee     | 385.00   |
| 1002         | 340      | 2202     | 170      | Design filing fee      |          |
| 1003         | 530      | 2003     | 265      | Plant filing fee       |          |
| 1004         | 770      | 2004     | 385      | Reissue filing fee     |          |
| 1005         | 160      | 2005     | 80       | Provisional filing fee |          |
| SUBTOTAL (1) |          | (\$)     |          | 385.00                 |          |

**2. EXTRA CLAIMS FEES FOR UTILITY AND REISSUE**

| Total Claims       | 20 | -20** | Extra Claims | Fee from below | Fee Paid |
|--------------------|----|-------|--------------|----------------|----------|
|                    |    |       | 0            | X              |          |
| Independent Claims | 4  | -3**  | 1            | X              | 43.00    |
|                    |    |       |              |                |          |
| Multiple Dependent |    | =     |              |                |          |
|                    |    |       |              |                |          |

**Large Entity**    **Small Entity**

| Fee Code     | Fee (\$) | Fee Code | Fee (\$) | Fee Description                                       |  |
|--------------|----------|----------|----------|---|--|
| 1201         | 18       | 2202     | 9        | Claims in excess of 20                                |  |
| 1201         | 86       | 2201     | 43       | Independent claims in excess of 3                     |  |
| 1203         | 290      | 2203     | 145      | Multiple dependent claims, if not paid                |  |
| 1204         | 86       | 2204     | 43       | **Reissue independent claims over original patent     |  |
| 1205         | 18       | 2205     | 9        | **Reissue claims in excess of 20 over original patent |  |
| SUBTOTAL (2) |          | (\$)     |          | 43.00   |  |

\*\* or number previously paid, if greater; For Reissues, see above

| Complete if Known      |                      |
|------------------------|----------------------|
| Application No.        | - Pending            |
| Filing Date            | November 12, 2003    |
| First Named Inventor   | J. Hannah Baldwin    |
| Examiner Name          |                      |
| Art Unit               |                      |
| Attorney Docket Number | 04001-P0003A GSW/HML |

| FEE CALCULATION (continued) |              |                                   |          |          |          |
|-----------------------------|--------------|-----------------------------------|----------|----------|----------|
| 3. ADDITIONAL FEES          |              | Fee Description                   |          | Fee Paid |          |
| Large Entity                | Small Entity | Fee Code                          | Fee (\$) | Fee Code | Fee (\$) |
|                             |              | 1051                              | 130      | 2051     | 65       |
|                             |              | 1052                              | 50       | 2052     | 25       |
|                             |              | 1053                              | 130      | 1053     | 130      |
|                             |              | 1812                              | 2,520    | 1812     | 2,520    |
|                             |              | 1804                              | 920*     | 1804     | 920*     |
|                             |              | 1805                              | 1,840*   | 1805     | 1,840*   |
|                             |              | 1251                              | 110      | 2251     | 55       |
|                             |              | 1252                              | 40       | 2252     | 210      |
|                             |              | 1253                              | 950      | 2253     | 475      |
|                             |              | 1254                              | 1,450    | 2254     | 725      |
|                             |              | 1255                              | 1,970    | 2255     | 985      |
|                             |              | 1401                              | 330      | 2401     | 165      |
|                             |              | 1402                              | 330      | 2402     | 165      |
|                             |              | 1403                              | 290      | 2403     | 145      |
|                             |              | 1451                              | 1,510    | 1451     | 1,510    |
|                             |              | 1452                              | 110      | 2452     | 55       |
|                             |              | 1453                              | 1,330    | 2453     | 665      |
|                             |              | 1501                              | 1,330    | 2501     | 665      |
|                             |              | 1502                              | 480      | 2502     | 240      |
|                             |              | 1503                              | 640      | 2503     | 320      |
|                             |              | 1460                              | 130      | 1460     | 130      |
|                             |              | 1807                              | 50       | 1807     | 50       |
|                             |              | 1806                              | 180      | 1806     | 180      |
|                             |              | 8021                              | 40       | 8021     | 40       |
|                             |              | 1809                              | 770      | 2809     | 385      |
|                             |              | 1810                              | 770      | 2810     | 385      |
|                             |              | 1801                              | 770      | 2801     | 385      |
|                             |              | 1802                              | 900      | 1802     | 900      |
|                             |              | Other fee (specify)               |          |          |          |
|                             |              | *Reduced by Basic Filing Fee Paid |          |          |          |
|                             |              | SUBTOTAL (3)                      |          |          |          |
|                             |              | (\$)                              |          |          |          |
|                             |              | 0.00                              |          |          |          |

\*\* or number previously paid, if greater; For Reissues, see above

| SUBMITTED BY      |           | St.Onge Steward Johnston & Reens LLC | Complete (if applicable) |
|-------------------|-----------|--------------------------------------|--------------------------|
| Name (Print/Type) | Signature | Registration No (Attorney/Agent)     | Telephone                |
| Gene S. Winter    |           | 28,352                               | 203 324-6155             |

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Provide credit card information and authorization on PTO-2038

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PATENT  
04001-P0003A GSW/HML

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

|                       |                       |
|-----------------------|-----------------------|
| Applicant             | J. Hannah Baldwin     |
| Serial No. - Pending  | November 12, 2003     |
| Title of Application: | Hair Retaining Device |

Mail Stop Patent Application  
Commissioner for Patents  
Post Office Box 1450  
Alexandria, VA 22313-1450

Cover Sheet For Six Sheets Of Drawings

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